# Endpoint regularity bounds of maximal functions in any dimensions

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## Outline

- Introduction
  - Background
  - Onedimensional case
  - The fractional maximal function
  - New results
- Proof strategy
  - Reduction and decomposition
  - High density case
  - Low density case



### Introduction

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# Background

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## Background

For  $f:\mathbb{R}^d \to \mathbb{R}$  the centered Hardy-Littlewood maximal function is defined by

$$M^{c}f(x) = \sup_{r>0} f_{B(x,r)} \quad \text{with} \qquad f_{B(x,r)} = \frac{1}{\mathcal{L}(B(x,r))} \int_{B(x,r)} |f|.$$

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#### Theorem (Hardy-Littlewood maximal function theorem)

$$\|\mathbf{M}^{\mathrm{c}}f\|_{L^{p}(\mathbb{R}^{d})} \leq C_{d,p}\|f\|_{L^{p}(\mathbb{R}^{d})}$$

if and only if p > 1.

$$\{\mathrm{M}^{\mathrm{c}}f > \lambda\} = \{x \in \mathbb{R}^d : \mathrm{M}^{\mathrm{c}}f(x) > \lambda\} \subset \bigcup \{B : f_B > \lambda\}.$$

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By the Vitali covering theorem there is a disjoint set  $\mathcal{B}$  of balls  $\mathcal{B}$  with  $f_{\mathcal{B}} > \lambda$  and

$$\mathcal{L}\left(\bigcup\{B: f_B > \lambda\}\right) \le \mathcal{L}\left(\bigcup\{5B: B \in \mathcal{B}\}\right)$$

$$\le 5^d \sum_{B \in \mathcal{B}} \mathcal{L}(B) \le 5^d \sum_{B \in \mathcal{B}} \frac{1}{\lambda} \int_{B} |f|$$

$$\le \frac{5^d}{\lambda} \int_{\mathbb{R}^d} |f|$$

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$$\mathrm{M}^{\mathrm{c}}f(x) \leq \|f\|_{\infty} \checkmark$$
.

If p>1 then

$$\|\nabla \mathbf{M}^{\mathrm{c}} f\|_{L^{p}(\mathbb{R}^{d})} \leq C_{d,p} \|\nabla f\|_{L^{p}(\mathbb{R}^{d})}$$

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**Proof:** For  $e \in \mathbb{R}^d$  by the sublinearity of  $M^c$ 

$$\partial_{e} \mathrm{M}^{\mathrm{c}} f(x) \sim rac{\mathrm{M}^{\mathrm{c}} f(x+he) - \mathrm{M}^{\mathrm{c}} f(x)}{h} \\ \leq rac{\mathrm{M}^{\mathrm{c}} (f(\cdot+he) - f)(x)}{h}$$

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By the Hardy-Littlewood maximal function theorem for p>1

$$\|
abla \mathrm{M}^{\mathrm{c}} f\|_{L^{p}(\mathbb{R}^{d})} \lesssim \|\mathrm{M}^{\mathrm{c}}(|
abla f|)\|_{L^{p}(\mathbb{R}^{d})} \lesssim \|
abla f\|_{L^{p}(\mathbb{R}^{d})}$$

## Question (Hajłasz and Onninen 2004)

Is it true that

$$\|\nabla \mathbf{M}^{\mathbf{c}} f\|_{L^{1}(\mathbb{R}^{d})} \leq C_{d} \|\nabla f\|_{L^{1}(\mathbb{R}^{d})}?$$

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Is it true that

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For  $f:\mathbb{R}^d \to \mathbb{R}$  the uncentered Hardy-Littlewood maximal function is defined by

$$\widetilde{\mathrm{M}}f(x)=\sup_{B\ni x}f_{B}.$$

The result by Kinnunen also holds for M and various other maximal operators, and the question by Hałjasz and Onninen is being investigated.

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In 2002 Tanaka proved

$$\operatorname{var} \widetilde{\mathrm{M}} f \leq \operatorname{var} f$$

for  $f : \mathbb{R} \to \mathbb{R}$ , but with a factor 2 on the right hand side. In 2007 Aldaz and Pérez Lázaro reduced that factor to the optimal value 1.

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$$\operatorname{var} f = \sup_{n \in \mathbb{N}, \ x_1 < \ldots < x_n} \sum_{i=1}^{n-1} |f(x_{n+1}) - f(x_n)|.$$

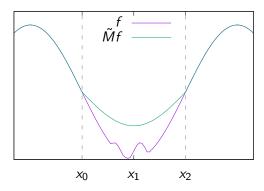
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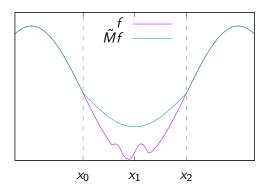
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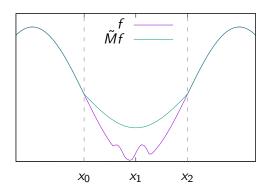
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Main ingredient:  $\widetilde{\mathrm{M}}f$  is convex on connected components of  $\{x\in\mathbb{R}:\widetilde{\mathrm{M}}f(x)>f(x)\}.$ 

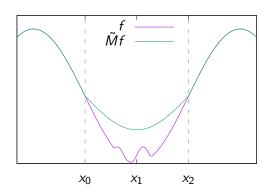




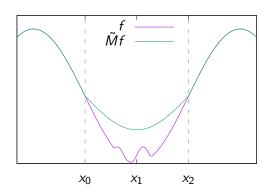
$$\begin{split} \operatorname{\mathsf{var}} \widetilde{\mathrm{M}} f &= \operatorname{\mathsf{var}}_{[0,x_0]} \widetilde{\mathrm{M}} f + \operatorname{\mathsf{var}}_{[x_2,1]} \widetilde{\mathrm{M}} f \\ &+ |\widetilde{\mathrm{M}} f(x_0) - \widetilde{\mathrm{M}} f(x_1)| + |\widetilde{\mathrm{M}} f(x_2) - \widetilde{\mathrm{M}} f(x_1)| \end{split}$$



$$\begin{split} \operatorname{var} \widetilde{\mathbf{M}} f &= \operatorname{var}_{[0, x_0]} \widetilde{\mathbf{M}} f + \operatorname{var}_{[x_2, 1]} \widetilde{\mathbf{M}} f \\ &+ |\widetilde{\mathbf{M}} f(x_0) - \widetilde{\mathbf{M}} f(x_1)| + |\widetilde{\mathbf{M}} f(x_2) - \widetilde{\mathbf{M}} f(x_1)| \\ &\leq \operatorname{var}_{[0, x_0]} f + \operatorname{var}_{[x_2, 1]} f \\ &+ |f(x_0) - f(x_1)| + |f(x_2) - f(x_1)| \end{split}$$



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For the centered maximal function  $M^c f$  the convexity property does not hold. Nevertheless,

#### centered

Kurka proved  $\operatorname{var} \operatorname{M}^{\operatorname{c}} f \leq C \operatorname{var} f$  for  $f: \mathbb{R} \to \mathbb{R}$  in a very involved paper in 2015.

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Kurka proved var  $\mathrm{M}^\mathrm{c} f \leq C$  var f for  $f: \mathbb{R} \to \mathbb{R}$  in a very involved paper in 2015.

He did case distinctions with respect to the shape of triples  $x_0 < x_1 < x_2$  with  $\mathrm{M^c} f(x_0) < \mathrm{M^c} f(x_1) > \mathrm{M^c} f(x_2)$  and a decomposition in scales.

For radial functions  $f:\mathbb{R}^d o \mathbb{R}$  with f(x)=f(|x|) we have

$$\|\nabla f\|_{L^1(\mathbb{R}^d)} = \int_0^\infty |\nabla f(r)| r^{d-1} \, \mathrm{d} r$$

and also  $M_f$  is radial.

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#### radial

In 2018 Luiro used this one-dimensional representation to prove  $\|\nabla \widetilde{\mathrm{M}} f\|_{L^1(\mathbb{R}^d)} \leq C_d \|\nabla f\|_{L^1(\mathbb{R}^d)}$  for radial functions  $f: \mathbb{R}^d \to \mathbb{R}$ .

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#### block-decreasing

In 2009 Aldaz and Pérez Lázaro proved

$$\|\nabla \widetilde{\mathrm{M}} f\|_{L^1(\mathbb{R}^d)} \leq C_d \|\nabla f\|_{L^1(\mathbb{R}^d)}$$
 for block-decreasing  $f: \mathbb{R}^d \to \mathbb{R}$ ,

which are to some extent similar to radially decreasing functions.

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For  $0 < \alpha < d$  the centered fractional Hardy-Littlewood maximal function is

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and similarly the uncentered version  $\mathrm{M}_{\alpha} f$  .

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$$\|\mathbf{M}_{\alpha}f\|_{L^{\frac{pd}{d-\alpha p}}(\mathbb{R}^d)} \leq C_{d,\alpha,p}\|f\|_{L^p(\mathbb{R}^d)}$$

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if and only if p>1, and the corresponding regularity bound is

$$\|\nabla \mathbf{M}_{\alpha} f\|_{L^{\frac{pd}{d-\alpha p}}(\mathbb{R}^d)} \leq C_{d,\alpha,p} \|\nabla f\|_{L^p(\mathbb{R}^d)},$$

which for p > 1 follows by the same proof as for  $\alpha = 0$  in Kinnunen (1997).

## Fractional: d=1

#### Fractional endpoint

Do we have

$$\|\nabla \mathbf{M}_{\alpha} f\|_{L^{\frac{d}{d-\alpha}}(\mathbb{R}^d)} \leq C_{d,\alpha} \|\nabla f\|_{L^1(\mathbb{R}^d)}?$$

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- d = 1
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Endpoint bound is known for

- $\alpha \geq 1$
- maximal operator that only averages over balls with radii  $2^n, \ n \in \mathbb{Z}$
- maximal operator that only averages against a smooth kernel
- All previous results also known for  $M_{\alpha}^{c}$ .

- convolution operators
- local maximal operators
- discrete maximal operators
- bilinear maximal operators

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related: Continuity of the operator given by  $f \mapsto \nabla \mathbf{M} f$  on  $W^{1,1}(\mathbb{R}^d) \to L^1(\mathbb{R}^d)$ . This is a stronger property than boundedness.



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We prove the endpoint regularity bound for the maximal function for

• characteristic f

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We prove the endpoint regularity bound for the maximal function for

- characteristic *f*
- dyadic maximal operator
- fractional maximal operator

We prove the endpoint regularity bound for the maximal function for

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- fractional maximal operator
- cube maximal operator

# Proof strategy

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# Reduction and decomposition

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#### definition

$$\operatorname{\mathsf{var}} f = \sup \Bigl\{ \int f \operatorname{\mathsf{div}} arphi : arphi \in \mathit{C}^1_{\operatorname{\mathsf{c}}}(\mathbb{R}^d; \mathbb{R}^d), \ |arphi| \leq 1 \Bigr\}$$

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abla f \|_{\mathit{L}^1(\mathbb{R}^d)} \qquad \text{if } f \in \mathit{W}^{1,1}(\mathbb{R}^d). \end{aligned}$$

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#### coarea formula

$$\operatorname{\mathsf{var}} f = \int_{\mathbb{D}} \mathcal{H}^{d-1}(\partial \{x \in \mathbb{R}^d : f(x) > \lambda\}) \, \mathrm{d}\lambda$$

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### superlevel sets

$$\{x \in \mathbb{R}^d : \mathrm{M}f(x) > \lambda\} = \{ \int \{B : f_B > \lambda\}$$

for uncentered maximal operators.

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### superlevel sets

$$\{Mf > \lambda\} = \{x \in \mathbb{R}^d : Mf(x) > \lambda\} = \bigcup \{B : f_B > \lambda\}$$

for uncentered maximal operators.

#### Denote

$$\mathcal{B}_{\lambda}^{<} = \{ B : f_B > \lambda, \ \mathcal{L}(B \cap \{f > \lambda\}) < \mathcal{L}(B)/2 \}$$

and  $\mathcal{B}_{\lambda}^{\geq}$  accordingly. We split the boundary

$$\partial \bigcup \{B : f_B > \lambda\} \subset \partial \bigcup \mathcal{B}_{\lambda}^{<} \cup \partial \bigcup \mathcal{B}_{\lambda}^{\geq}. \tag{1}$$

Denote

$$\mathcal{B}_{\lambda}^{<} = \{ \mathbf{B} : f_{\mathbf{B}} > \lambda, \ \mathcal{L}(\mathbf{B} \cap \{f > \lambda\}) < \mathcal{L}(\mathbf{B})/2 \}$$

and  $\mathcal{B}_{\lambda}^{\geq}$  accordingly. We split the boundary

$$\partial \bigcup \{B : f_B > \lambda\} \subset \partial \bigcup \mathcal{B}_{\lambda}^{<} \cup \partial \bigcup \mathcal{B}_{\lambda}^{\geq}. \tag{1}$$

Since  $\mathrm{M}f \geq f$  a.e. we have  $\{f > \lambda\} \subset \{\mathrm{M}f > \lambda\}$  up to measure zero, and thus

$$\partial \bigcup \{B : f_B > \lambda\} \subset \left(\partial \bigcup \{B : f_B > \lambda\}\right) \setminus \overline{\{f > \lambda\}} \cup \partial \{f > \lambda\}. \tag{2}$$

Denote

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Plug (1) into (2) and that into the coarea formula

$$\operatorname{var} \mathbf{M} f = \int_0^\infty \mathcal{H}^{d-1} \Big( \partial \bigcup \{B : f_B > \lambda\} \Big) \, \mathrm{d}\lambda.$$

# Decomposition of the boundary

#### decomposition

$$\begin{aligned} \operatorname{var} \operatorname{M} & f \leq \int_0^\infty \mathcal{H}^{d-1} \Big( \partial \bigcup \mathcal{B}_{\lambda}^{<} \Big) \, \mathrm{d} \lambda \\ & + \int_0^\infty \mathcal{H}^{d-1} \Big( \Big( \partial \bigcup \mathcal{B}_{\lambda}^{\geq} \Big) \setminus \overline{\{f > \lambda\}} \Big) \, \mathrm{d} \lambda \\ & + \operatorname{var} f \end{aligned}$$

## Decomposition of the boundary

#### decomposition

$$\operatorname{var} \mathbf{M} f \leq \int_0^\infty \mathcal{H}^{d-1} \left( \partial \bigcup \mathcal{B}_{\lambda}^{<} \right) \mathrm{d} \lambda$$
$$+ \int_0^\infty \mathcal{H}^{d-1} \left( \left( \partial \bigcup \mathcal{B}_{\lambda}^{\geq} \right) \setminus \overline{\{f > \lambda\}} \right) \mathrm{d} \lambda$$
$$+ \operatorname{var} f \quad \checkmark$$

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## Relative isoperimetric inequality

Let B be a cube or a ball and  $\mathcal{L}(B \cap E) \leq \mathcal{L}(B)/2$ . Then

$$\mathcal{L}(\underline{B}\cap E)^{\frac{d-1}{d}}\lesssim \mathcal{H}^{d-1}(\underline{B}\cap \partial E)$$

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### Proposition (High density)

For  $\mathcal{L}(B \cap E) \ge \mathcal{L}(B)/2$  we have

$$\mathcal{H}^{d-1}(\partial B \setminus \overline{E}) \lesssim \mathcal{H}^{d-1}(B \cap \partial E).$$

$$egin{aligned} \mathcal{L}(oldsymbol{Q} \cap oldsymbol{E}) &\geq arepsilon \mathcal{L}(oldsymbol{Q}) \ &\Longrightarrow \mathcal{H}^{d-1}(\partial oldsymbol{Q} \setminus oldsymbol{\overline{E}}) \lesssim_{arepsilon} \mathcal{H}^{d-1}(oldsymbol{Q} \cap \partial oldsymbol{E}) \end{aligned}$$

## Proposition (High density, general version)

Let  $\mathcal{B}$  be a set of balls  $\underline{B}$  with  $\mathcal{L}(\underline{B} \cap E) \geq \varepsilon \mathcal{L}(\underline{B})$ . Then

$$\mathcal{H}^{d-1}\Big(\partial\bigcup\mathcal{B}\setminus\overline{E}\Big)\lesssim_{\varepsilon}\mathcal{H}^{d-1}\Big(\bigcup\mathcal{B}\cap\partial E\Big).$$

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$$\int_{0}^{\infty} \mathcal{H}^{d-1}\left(\left(\partial \bigcup \mathcal{B}_{\lambda}^{\geq}\right) \setminus \overline{\{f > \lambda\}}\right) d\lambda$$

$$\lesssim \int_{0}^{\infty} \mathcal{H}^{d-1}\left(\bigcup \mathcal{B}_{\lambda}^{\geq} \cap \partial \{f > \lambda\}\right) d\lambda$$

$$\leq \operatorname{var} f.$$



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Have to bound

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I can't :(

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## dyadic maximal operator

$$M^{d}f(x) = \sup_{Q\ni x, Q \text{ dyadic}} f_{Q}.$$

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= \int_{\mathbb{R}} \sum_{\mathbf{Q}: \tilde{\lambda}_{\mathbf{Q}} < \lambda < f_{\mathbf{Q}}} \mathcal{H}^{d-1}(\partial \mathbf{Q}) \, \mathrm{d}\lambda 
= \sum_{\mathbf{Q}} (f_{\mathbf{Q}} - \tilde{\lambda}_{\mathbf{Q}}) \mathcal{H}^{d-1}(\partial \mathbf{Q})$$

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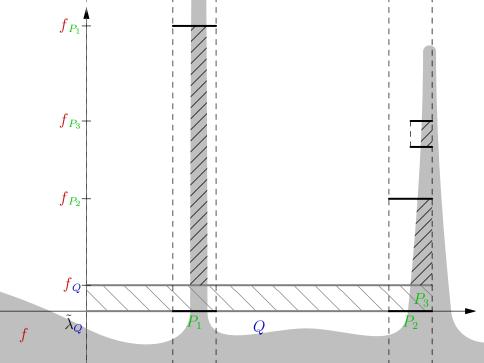
## Proposition

$$(f_{Q} - \tilde{\lambda}_{Q})\mathcal{L}(Q) \lesssim \int_{\mathbb{R}} \sum_{P \subseteq Q: \bar{\lambda}_{P} < \lambda < f_{P}} \mathcal{L}(P \cap \{f > \lambda\}) d\lambda$$

where P is maximal above  $\bar{\lambda}_P$  and

$$"\mathcal{L}(P \cap \{f > \bar{\lambda}_P\}) = 2^{-1}\mathcal{L}(P)"$$

$$"\mathcal{L}(Q \cap \{f > \tilde{\lambda}_Q\}) = 2^{-d-2}\mathcal{L}(Q)"$$



$$\sum_{Q} (f_{Q} - \tilde{\lambda}_{Q}) \mathcal{H}^{d-1}(\partial Q) \lesssim \int_{\mathbb{R}} \sum_{Q} \mathsf{I}(Q)^{-1} \sum_{P \subsetneq Q: \bar{\lambda}_{P} < \lambda < f_{P}} \mathcal{L}(P \cap \{f > \lambda\}) \, \mathrm{d}\lambda$$

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Thank you